

Feb 12 07 03:29p

NIXON PEABODY

RECEIVED
CENTRAL FAX CENTER

18667410075

p.1

FEB 12 2007

Nixon Peabody LLP
Attorneys at Law100 Summer Street
Boston, MA 02110-2131
(617) 345-1000

Fax: (617) 345-1300

PRIVILEGE AND CONFIDENTIALITY NOTICE

The information in this fax is intended for the named recipients only. It contains privileged and confidential matter. If you have received this fax in error, please notify us immediately by a collect telephone call to (617) 345-1000 and return the original to the sender by mail. We will reimburse you for postage. Do not disclose the contents to anyone. Thank you.

FAX

To:	Company	Fax #:	Telephone #:
US PTO	United States Patent and Trademark Office	1-571-273-8300	

INTERNATIONAL PHONE NUMBERS MUST INCLUDE COUNTRY & CITY CODE. SEE LOCAL WHITE PAGES FOR CODES NEEDED.

From: David S. Rcsnick Stephen R. Duly, Ph.D.	Date: February 12, 2007	No. of Pages: 8 (including this page)	701826-057830
--	-------------------------	--	---------------

Practitioner's Docket No. 701826-057830

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Mathieu Boilard et al.
Application No.: 10/575,698
Filed: 09/26/2006
For: SPERM PROTECTIVE POLYPEPTIDES AND USES THEREOF

Confirmation No.: 6783
Group No.: 1653
Examiner: To be assigned.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8)

I hereby certify that this correspondence:

1. Facsimile Cover Sheet (1 pg.);
2. Certificate of Transmission (1 pg.);
3. Transmittal Form (1 pg.);
4. Request for Corrected Filing Receipt (2 pp.); and
5. Copy of Filing Receipt (3 pp.).

is being faxed to the U.S. PTO at (571) 273-8300 on the date noted below.

February 12, 2007

Megan L. Sternbridge

Signature

☐ First Class Mail☐ Overnight Mail

Original of the transmitted document will be sent by:

☐ Hand Delivery☐ This transmission will be the only form of delivery of this document

IF YOU DO NOT RECEIVE ALL OF THESE PAGES, PLEASE CONTACT THE FAX OPERATOR AS SOON AS POSSIBLE AT: (617) 345-1386. THANK YOU.

CONFIRMATION: DATE SENT _____ TIME _____ BY _____

INTEROFFICE TO:☐ Albany☐ Boston☐ Buffalo☐ Garden City☐ Hartford☐ Manchester☐ New York City☐ Providence☐ Rochester☐ Washington (N)☐ Washington (P)

10166358.1

RECEIVED
CENTRAL FAX CENTER

Practitioner's Docket No. 701826-057830

FEB 12 2007

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Mathieu Boilard et al. Confirmation No.: 6783
Application No.: 10/575,698 Group No.: 1653
Filed: 09/26/2006 Examiner: To be assigned.
For: SPERM PROTECTIVE POLYPEPTIDES AND USES THEREOF

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8)

I hereby certify that this correspondence:

1. Facsimile Cover Sheet (1 pg.);
2. Certificate of Transmission (1 pg.);
3. Transmittal Form (1 pg.);
4. Request for Corrected Filing Receipt (2 pp.); and
5. Copy of Filing Receipt (3 pp.).

is on the date shown below being:

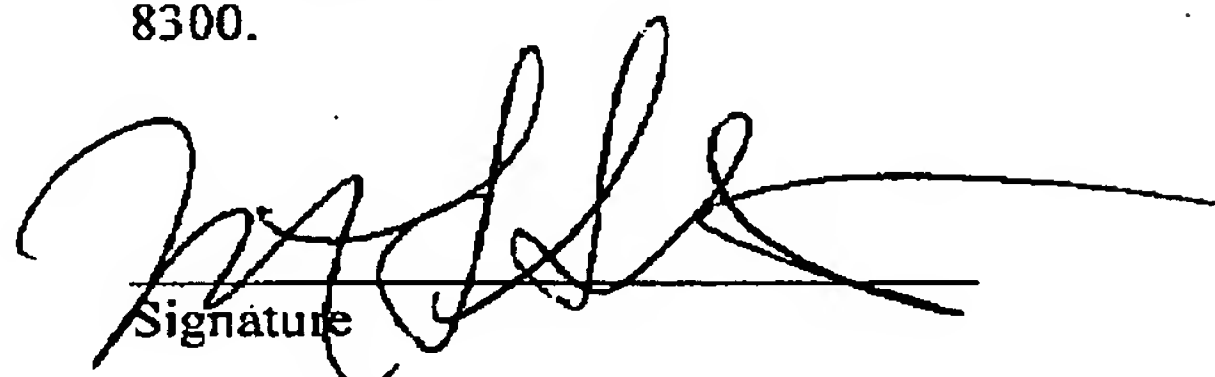
MAILING

deposited with the United States Postal Service
with sufficient postage as first class mail
in an envelope addressed to the
Commissioner for Patents, P.O. Box 1450,
Alexandria, VA 22313-1450

Date: February 12, 2007

FACSIMILE

☒ transmitted by facsimile to the Patent
and Trademark Office at (571) 273-
8300.


Signature

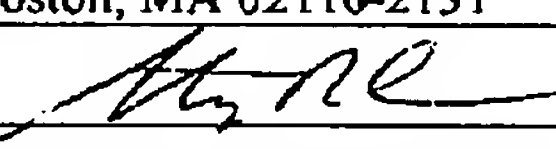
Megan L. Stembridge
(type or print name of person certifying)

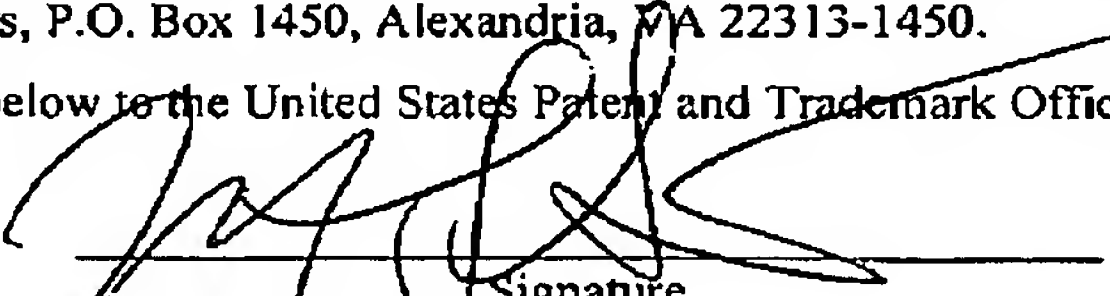
RECEIVED
CENTRAL FAX CENTER

FEB 12 2007

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/575,698	
	Filing Date	09/26/2006	
	First Named Inventor	Mathieu Boilard	
	Group Art Unit	1653	
	Examiner Name	To be assigned	
Total Number of Pages in This Submission	8	Attorney Docket Number	701826-057830

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Application Data Sheet <input checked="" type="checkbox"/> Request for Corrected Filing Receipt with Enclosures <input type="checkbox"/> A self-addressed prepaid postcard for acknowledging receipt <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Facsimile Cover Sheet; Certificate of Transmission; and Copy of Filing Receipt.
Remarks		<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 50-0850 for the above identified docket number.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Nixon Peabody LLP 100 Summer Street Boston, MA 02110-2131
Signature	
Name	David S. Resnick (34,235) / Stephen R. Duly (Reg. No. 56,183)
Date	February 12, 2007

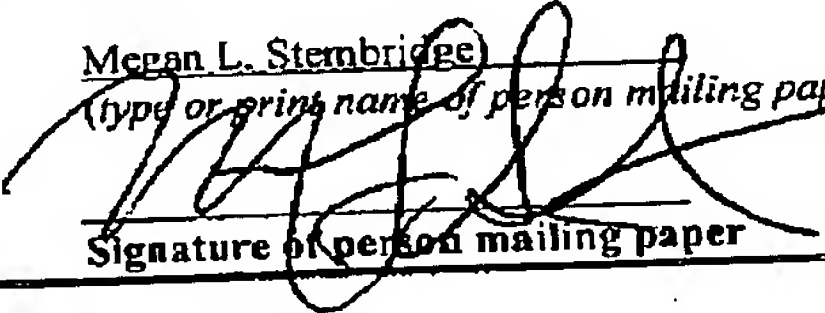
CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]	
I hereby certify that this correspondence is being:	
<input type="checkbox"/> deposited with the United States Postal Service under 37 C.F.R. section 1.10, on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
<input checked="" type="checkbox"/> transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300.	
February 12, 2007 Date	 Signature Megan L. Stembridge Typed or printed name

**RECEIVED
CENTRAL FAX CENTER****FEB 12 2007****PATENT**

Practitioner's Docket No. 701826-057830

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Mathieu Boilard et al.	Confirmation No.:	6783
Application No.:	10/575,698	Group No.:	1653
Filed:	09/26/2006	Examiner:	To be assigned.
For:	SPERM PROTECTIVE POLYPEPTIDES AND USES THEREOF		

CERTIFICATE OF FACSIMILE (37 C.F.R. SECTION 1.6(d))	
I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office at (571) 273-8300 on the date shown below:	
February 12, 2007 Date	Megan L. Stenbridge (type or print name of person mailing paper)  Signature of person mailing paper

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data, which is:

☒ incorrectly entered

and/or

☐ omitted.

10307443.1

Serial Number 10/575,698
Request for Corrected Filing Receipt
Page 2 of 2

Error in**Correct data**

- | | | | |
|--------|-----------------------------|----|------------------|
| 1. [] | Applicant's name | 1. | |
| 2. [] | Applicant's address | 2. | |
| 3. [] | Title | 3. | |
| 4. [] | Filing Date | 4. | |
| 5. [] | Serial Number | 5. | |
| 6. [] | Foreign/PCT Application Re: | 6. | |
| 7. [] | Priority Information: | 7. | |
| 8. [X] | Other: Assignee | 8. | Universite Laval |

3. *(complete the following applicable item)*

[X] The correction(s) is not due to any error by applicant and no fee is due.


OR

[] At least one of the above corrections is due to applicant's error and the fee therefore, under 37 C.F.R. Section 1.19(h), of \$25.00 is paid as follows:

The Commissioner is hereby authorized to charge any fees required or credit any overpayments to Deposit Account No. 50-0850 for the above identified docket number.

Date: February 12, 2007

Respectfully submitted,



David S. Resnick (Reg. No. 34,235)
Stephen R. Duly (Reg. No. 56,183)
NIXON PEABODY LLP
100 Summer Street
Boston, MA 02110
(617) 345-6057 / 1270

FEB 12 2007

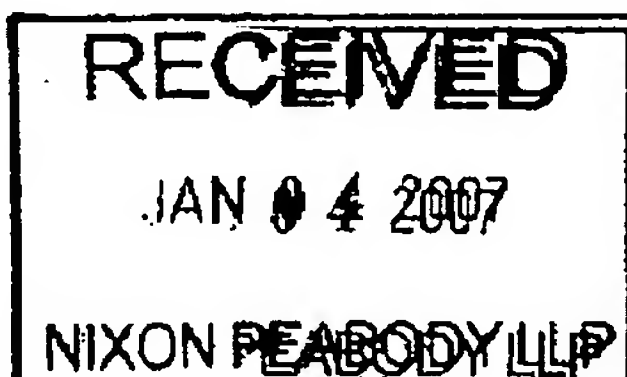


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/575,698	09/26/2006	1653	515	701826-057830	14	15	3

David S. Resnick
Nixon Peabody
100 Summer Street
Boston, MA 02110-2131



CONFIRMATION NO. 6783

FILING RECEIPT



OC000000021760864

Date Mailed: 12/29/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Mathieu Boillard, Sainte-Julie, QC, CANADA;
Marc-Andre Sirard, Sainte-Helene-de-Breakeville, QC, CANADA;
Pierre Leclerc, Sainte-Nicolas, QC, CANADA;
Janice Bailey, St-Augustin-de-Desmaures, QC, CANADA;
Catherine Lachance, Quebec, QC, CANADA;

Assignment For Published Patent Application

University Laval, Quebec, CANADA

Power of Attorney:

David Resnick-34235

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/CA04/01823 10/14/2004
which claims benefit of 60/510,549 10/14/2003

Foreign Applications

If Required, Foreign Filing License Granted: 12/27/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/575,698**

Projected Publication Date: 04/05/2007

BEST AVAILABLE COPY

RECEIVED
CENTRAL FAX CENTER

FEB 12 2007

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Sperm protective polypeptides and uses thereof

Preliminary Class

435

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING

LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

BEST AVAILABLE COPY